Cranial PPG Brain Signal based Cardiovascular Parameter Estimation

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Abstract: Peripheral photoplethysmogram (PPG) is widely used for the measurement of blood oxygen saturation. Estimation of Blood Pressure (BP), Heart Rate (HR) and Respiratory Rate (RR) from peripheral PPG are area of interest for most of the researchers. The work has been carried out by the authors on Cranial PPG (CPPG) recorded from head of the subject by using a designed prototype. Prototype is an optical sensor which uses a Red LED as source and OPT101 as a detector to capture CPPG. The system has been tested on ten real subjects of both the genders (3 males and 7 females) aged between 18-40 years. Automatic BP machine was used as a standard for estimation of cardiovascular parameters. It was observed that the various cardiovascular parameters estimated form CPPG brain signal were matching the automatic BP machine.

Keywords: Photoplethysmogram, Blood Pressure, Heart rate, Cardiovascular Parameters, Brain Signal.

1. INTRODUCTION

Plethysmogram is widely used in the medical field for the measurement of blood oxygen saturation, the additional information from PPG signal such as RR, HR and BP along with the blood oxygen saturation will gain better weight age in the same filed. It is a non-invasive, easy, lightweight system and can be used for continuous measurement of BP [7]. RR, HR and BP monitoring is significant in clinical diagnosis therapeutics, prognosis, during surgical procedures, post - operative care units, in addition to, monitoring sleep disorders, drug administration and cardiopulmonary disorders. RR recording is generally carried out by using spirometer, pneumotachometer, nasal thermister based system or whole body plethysmography. HR recording is generally carried out by using automatic BP machine or from Electrocardiogram (ECG) [2]. Classical method of BP measurement is by using Sphygmomanometer or by using automatic BP machine. Sometimes is estimated indirectly from two signals; ECG and PPG from peripheral site [3]. Instead of using various dedicated instruments for the measurement of RR, HR and BP, a single system based on CPPG brain signal is developed by the authors. It is a continuous, non-invasive, non-occlusive, electro-optical method of recording cardiovascular parameters from a single sensor based brain signal.

1.1 PPG Waveform and Blood Pressure

Blood Pressure (BP) is the pressure of the circulating blood against the walls of blood vessels. BP is indicated by two terms systolic blood pressure (SBP) and diastolic blood pressure (DBP) [8]. SBP is the pressure during the left ventricle of the heart and DBP is the pressure after the contraction of the heart while chambers of the heart are refilled with blood. The normal value of SBP/DBP is 120/80 mm of Hg.

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Figure 1: Anacrotic, Catacrotic Phase and Dicrotic Notch

2. MATERIALS AND METHODS

Cardiovascular parameters are generally estimated by recording a heart related signal such as ECG [8]. Studies related to the brain can be carried from various brain signals such as electrocardiogram (EEG) and CPPG. But the estimation of cardiovascular parameters from a brain signal such as EEG is a difficult task. It was found out the authors that the brain signal such as CPPG can reveal information related to brain activity as well as heart related activity. So this signal can be widely used in near future for the interpretation of Heart-Head interaction.

2.1 System Block Diagram

Figure 1 shows the system block diagram. Optical sensor developed by the authors is used to capture the photoplethysmogram (PPG). Study was carried out by the authors using two optical sensors with different source wavelength (Source LED: RED 660 nm & Source LED: IR 860 nm). To capture the cranial photoplethysmogram (CPPG) optical sensor with a source wavelength of 860 nm on AC modulated supply (2MHz, 10 V) was used (Revati Shriram et al, 2015). Automatic Blood Pressure (BP) machine was used as a standard value for BP. PPG signal was captured for 10 subjects at two sites; finger and forehead. BP was estimated from finger PPF and CPPG and lastly it was compared with the reading of the BP obtained from automatic BP machine.



Figure 2: System Block Diagram

2.2 Signal Conditioning Circuit

Signal conditioning circuit consists of various blocks such as, filter, buffer and amplifier stages. Generally biosignals are very small in amplitude so direct amplification of signal with amplify the noise present in the signal as well. So filtering is done to remove the noise related to the AC supply and base line wonder. Output of the sensor is applied to the signal conditioning circuit through shielded cable. After amplification and filtration, signal shifted using level shifting circuit to get positive shift in the signal [5,6].



Figure 3: Signal Conditioning Circuit

2.3 Correlation Factor Calculations

Before the estimation of BP, correlation factor has to be calculated. Following are the steps to calculate correlation factor:

- Using Automatic BP machine SBP and DBP is measured. (It is a cuff based non invasive method. Cannot be used for continuous measurement)
- Then PPG waveform is captured at fingertip and at forehead (CPPG) using IR LED source of wavelength of 860 nm.
- Similarly PPG waveform is captured at only fingertip using RED LED as source. Penetration of RED light (visible) is lesser, so by using this source CPPG cannot be captured.
- 1st highest peak voltage and 1st lowest peak voltage is measured for PPG and CPPG waveform using both the sources.

 $Correlation factor for SBP = \frac{1 st \ highest \ peak \ voltage}{SBP \ value \ from \ Automatic \ BP \ machine}$ (1)

 $Correlation factor for DBP = \frac{1st \ lowest \ peak \ voltage}{DBP \ value \ from \ Automatic \ BP \ machine}$ (2)

• In this fashion prepare the look up table for at least 10 subjects. Once the look up table is ready captured only the PPG waveform for the 11th subject and carry on the procedure below for the SBP/DBP estimation.

$$SBP estimation from PPG = \frac{2nd \ highest \ peak \ voltage}{Correlation \ factor \ for \ SBP \ for \ that \ voltage}$$
(3)

 $DBP estimation from PPG = \frac{2nd \ lowest \ peak \ voltage}{Correlation \ factor \ for \ DBP \ for \ that \ voltage}$ (4)

• The accuracy of the system increases with the larger look up table of correlation factor for SBP and DBP. Or by calculating the correlation factor from average highest peak value and average lowest value.

Based on figure 4 sample calculation of Correlation factor are as shown below:

Highest Peak Voltage: 9 V and Lowest Voltage: 1.8 V

Reading on automatic BP M/C for the same subject is: $\frac{SBP}{DBP} = \frac{95}{55}$ mm of Hg (5) Correlation factor for SBP = 0.094

Correlation factor for DBP = 0.032



Figure 4: PPG waveform on DSO

3. SUBJECTS AND DATA ACQUISITION PROCEDURE

PPG and pulse-transducer data was simultaneously recorded for one minute from Cummins College of Engineering for women for six healthy volunteer subjects (2 male and 4 females, aged from 18 to 65 years). All subjects were in the seated position, their index fingers lying comfortably on the table and foot resting on the ground through sandals.

4. **RESULTS**

MATLAB based PPG data filtering is carried out before the further processing. PPG was captured at finger at cranial site (head) by using two PPG sensors; one with RED LED and other with IR LED. Penetration of IR light is more so the waveform obtained from a sensor with IR source has higher amplitude as compared to the RED source. Correlation factor is calculated for IR and RED LED at finger and at head. Table 1 shows the correlation facto calculated for 10 subjects with both the sources at finger. It can be observed that the correlation factor for IR source is a bit higher than the RED source.

| Automatic BP Ma- | | IR LED Voltage (V) | | IR LED Co-relation | | RED LED | Voltage (V) | RED LED Co-relation | |
|------------------|----------|-----------------------|------------------------|-----------------------|--------|-------------------------|------------------------|-----------------------|--------|
| chine (mm | 1 of Hg) | | | Factor for finger PPG | | | | Factor for finger PPG | |
| SBP | DBP | 1 st High- | 1 st Lowest | SBP | DBP | 1 st Highest | 1 st Lowest | SBP | DBP |
| | | est Point | Point | | | Point | Point | | |
| 113 | 67 | 3.90 | 2.93 | 0.0345 | 0.0437 | 3.42 | 0.912 | 0.0302 | 0.0136 |
| 109 | 62 | 3.60 | 2.60 | 0.0330 | 0.0419 | 3.64 | 0.2080 | 0.0333 | 0.0033 |
| 120 | 65 | 3.26 | 2.40 | 0.0271 | 0.0369 | 3.44 | 0.400 | 0.0286 | 0.0061 |
| 92 | 72 | 2.97 | 2.09 | 0.0322 | 0.0290 | 3.28 | 1.280 | 0.0356 | 0.0177 |
| 111 | 63 | 3.25 | 1.23 | 0.0292 | 0.0195 | 3.40 | 1.960 | 0.0306 | 0.0311 |
| 117 | 60 | 3.12 | 2.25 | 0.0266 | 0.0375 | 3.08 | 1.760 | 0.0263 | 0.0293 |
| 130 | 70 | 3.26 | 1.40 | 0.0250 | 0.0191 | 3.47 | 1.090 | 0.0266 | 0.0149 |
| 99 | 60 | 3.08 | 1.75 | 0.0311 | 0.0296 | 3.33 | 1.280 | 0.0336 | 0.0216 |
| 126 | 73 | 3.22 | 1.25 | 0.0252 | 0.0158 | 3.66 | 0.704 | 0.0290 | 0.0089 |
| 93 | 59 | 3.20 | 1.60 | 0.0344 | 0.025 | 3.76 | 0.400 | 0.0400 | 0.0062 |

| Table 1 | | | | | | | | | |
|-------------|-------|-----|-----|-----|-----|--|--|--|--|
| Correlation | value | for | SBP | and | DBP | | | | |

From a captured PP and CPPG heart rate was calculated and then it was compared with the heart rate observed on automatic BP machine. It was seen that the HR calculated from PPG and CPPG was almost same and the mean error of 0.4 beats/minute was observed between the HR by automatic BP machine and the HR calculated from PPG or CPPG. Table 2 shows the comparison of HR and mean error in HR.

Comparison of Observed and Calculated HR

| Volunteer | Gender | Age (Years) | Observed HR | Calculated HR | Error beats/minute |
|-----------|--------|-------------|--------------|---------------|--------------------|
| | | | beats/minute | beats/minute | |
| 1 | Female | 18 | 64 | 65 | 1 |
| 2 | Female | 21 | 73 | 73 | 0 |
| 3 | Female | 23 | 96 | 95 | 1 |
| 4 | Female | 36 | 110 | 110 | 0 |
| 5 | Female | 20 | 118 | 118 | 0 |
| 6 | Female | 63 | 98 | 98 | 0 |
| 7 | Female | 20 | 102 | 103 | 1 |
| 8 | Female | 39 | 114 | 114 | 0 |
| 9 | Male | 30 | 94 | 93 | 1 |
| 10 | Male | 34 | 92 | 92 | 0 |

Mean Error in HR: 0.4 beats/minute

Table 3

Observed and Calculated BP using Automatic BP Machine & IR LED at

| Fingertip | (PPG) |
|-----------|-------|
|-----------|-------|

| Volunteer | Gender | Age (Years) | Automatic BP Machine (mm of Hg) | | BP from finger PPG (mm of Hg) | | Error (mm of Hg) | |
|-----------|--------|-------------|------------------------------------|-----|----------------------------------|-----|------------------|-----|
| | | | SBP | DBP | SBP | DBP | SBP | DBP |
| 1 | Female | 18 | 113 | 67 | 106 | 61 | 5 | 6 |
| 2 | Female | 21 | 109 | 62 | 101 | 59 | 6 | 3 |
| 3 | Female | 23 | 120 | 65 | 128 | 61 | 8 | 4 |
| 4 | Female | 36 | 92 | 72 | 97 | 74 | 5 | 2 |
| 5 | Female | 20 | 107 | 77 | 108 | 71 | 1 | 6 |
| 6 | Female | 63 | 117 | 63 | 111 | 72 | 4 | 9 |
| 7 | Female | 20 | 111 | 63 | 107 | 58 | 2 | 3 |
| 8 | Female | 39 | 99 | 60 | 105 | 58 | 6 | 2 |
| 9 | Male | 30 | 126 | 73 | 121 | 73 | 3 | 0 |
| 10 | Male | 34 | 93 | 64 | 96 | 64 | 3 | 0 |

Mean Error in SBP = 4.3 mm of Hg

Mean Error in DBP = 3.5 mm of Hg

Table 4

Observed and Calculated BP using Automatic BP Machine & RED LED at Fingertip (PPG)

| Volunteer | Gender | Age (Years) | Automatic BP Machine (mm of Hg) | | BP from fing of | ger PPG (mm Hg) | Error (mm of Hg) | |
|-----------|--------|-------------|------------------------------------|-----|--------------------|--------------------|------------------|-----|
| | | - | SBP | DBP | SBP | DBP | SBP | DBP |
| 1 | Female | 18 | 113 | 67 | 120 | 75 | 7 | 8 |
| 2 | Female | 21 | 109 | 62 | 116 | 57 | 7 | 5 |
| 3 | Female | 23 | 120 | 65 | 124 | 69 | 4 | 4 |
| 4 | Female | 36 | 92 | 72 | 99 | 77 | 7 | 5 |
| 5 | Female | 20 | 107 | 77 | 105 | 80 | 4 | 3 |
| 6 | Female | 63 | 117 | 63 | 122 | 67 | 5 | 4 |
| 7 | Female | 20 | 130 | 70 | 124 | 79 | 8 | 9 |
| 8 | Female | 39 | 99 | 60 | 104 | 64 | 5 | 4 |
| 9 | Male | 30 | 126 | 73 | 123 | 71 | 5 | 2 |
| 10 | Male | 34 | 93 | 59 | 97 | 55 | 4 | 4 |

Mean Error in SBP = 5.6 mm of Hg

Mean Error in DBP = 4.8 mm of Hg

Table 5

Observed and Calculated BP using Automatic BP Machine & IR LED at Forehead (CPPG)

| Volunteer | Gender | Age (Years) | Automatic BP Machine (mm of Hg) | | BP from cran of l | ial PPG (mm Hg) | Error (mm of Hg) | |
|-----------|--------|-------------|------------------------------------|-----|----------------------|--------------------|------------------|-----|
| | | | SBP | DBP | SBP | DBP | SBP | DBP |
| 1 | Female | 18 | 113 | 67 | 109 | 62 | 4 | 5 |
| 2 | Female | 21 | 109 | 62 | 114 | 60 | 5 | 2 |
| 3 | Female | 23 | 120 | 65 | 122 | 58 | 2 | 7 |
| 4 | Female | 36 | 92 | 72 | 101 | 69 | 9 | 3 |
| 5 | Female | 20 | 107 | 77 | 116 | 68 | 9 | 9 |
| 6 | Female | 63 | 117 | 63 | 118 | 58 | 8 | 5 |
| 7 | Female | 20 | 130 | 70 | 124 | 75 | 6 | 5 |

| 8 | Female | 39 | 99 | 60 | 105 | 67 | 6 | 7 |
|----|--------|----|-----|----|-----|----|---|---|
| 9 | Male | 30 | 126 | 73 | 120 | 77 | 6 | 4 |
| 10 | Male | 34 | 93 | 59 | 97 | 59 | 4 | 0 |

Mean Error in SBP = 5.9 mm of Hg

Mean Error in DBP = 4.7 mm of Hg

CONCLUSION

It is a non-invasive cuffless continuous method of BP measurement. HR is estimated by measuring the distance between two consecutive peaks of CPPG or finger PPG. With change in the source wavelength of sensor, only magnitude/amplitude of the photoplethysmography pulse gets affected. Time duration between the consecutive photoplethysmography pulses is independent of the source wavelength used. So HR measured using source as IR LED of 860 nm and RED LED of 660 nm are same.

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